



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eduard K. de Jong et al.

Assignee:

Sun Microsystems, Inc.

Title:

USER ACCESS CONTROL TO DISTRIBUTED RESOURCES ON A

DATA COMMUNICATIONS NETWORK

Serial No.:

10/014,893

Filed:

October 29, 2001

Examiner:

Kevin T. Bates

Group Art

2155

Unit:

Docket No.:

P-6992

Monterey, CA July 30, 2007

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER §1.97

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each non-US Patent document is enclosed) to the attention of the Examiner. At a minimum, this Information Disclosure Statement should be placed in the file pursuant to 37 C.F.R. § 1.97(i).

## U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	6,393,468	05-21-02	McGee
2)	6,397,329	05-28-02	Aiello et al.
3)	6,697,944	02-24-04	Jones et al.
4)	7,155,415	12-26-06	Russell et al.
5)	7,200,574	04-03-07	Stefik et al.
6)	2002/0072413	06-13-02	Arias et al.
7)	2002/0157002	10-24-02	Messerges et al.
8)	2003/0046548	03-06-03	Brown et al.

9)	2003/0046578	03-06-03	Brown et al.
10)	2003/0050919	03-13-03	Brown et al.
11)	2003/0105734	06-05-03	Hitchen et al.
12)	2003/0126086	07-03-03	Safadi
13)	2003/0140257	07-24-03	Peterka et al.
14)	2003/0191838	10-09-03	Tsao
15)	2003/0208777	11-06-03	Danker et al.
16)	2003/0226012	12-04-03	Asokan et al.
17)	2004/0003270	01-01-04	Bourne et al.
18)	2004/0078341	04-22-04	Steichen
19)	2004/0098609	05-20-04	Bracewell et al.

#### FOREIGN DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY
1)	JP 2004173285	06/17/04	Japan
2)	Patent Abstracts of Japan for JP 2004173285	06/17/04	Japan

#### OTHER DOCUMENTS

1)	Schneier,	"Applied	Cryptography",	section	8.1,	copyright 1999,
	pages 170-	-175.				

A PTO form 1449 listing these documents is enclosed. Document JP 2004173285 was originally cited by the USPTO in a related U.S. patent application. The USPTO did not provide Applicants with a translation for JP 2004173285 and Applicants do not have a translation of the document. To determine the relevance, the enclosed copy of the Patent Abstracts of Japan was obtained. This document provides the relevance as known by Applicants.

Applicants note that the original Japanese document JP 2004173285 appears to claim priority on U.S. Patent Application Serial No. 10/459,863. The U.S. Patent Application Publication No. 2004/0098609 that corresponds to this serial number is also cited on the PTO form 1449. A comparison of the Patent

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Abstracts of Japan and the abstract of the U.S. Patent Application Publication No. 2004/0098609 shows that they include the same information.

Citation of the above documents shall not be construed as:

- an admission that the documents are necessarily prior art with respect to the instant invention;
- a representation that a search has been made, other than as described above; or
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 30, 2007.

July 30, 2007

Attorney for Applicant(s)

Date of Signature

Respectfully submitted,

Forrest Gunnison

Attorney for Applicant(s)

Reg. No. 32,899

(831) 655-0880

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Atty Docket No.

P-6992

Serial No.

10/014,893

Applicant(s)

Eduard K. de Jong et al.

Filing Date

Group

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#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,393,468	05-21-02	McGee	709	218	
	AB	6,397,329	05-28-02	Aiello et al.	713	155	
	AC	6,697,944	02-24-04	Jones et al.	713	168	
	AD	7,155,415	12-26-06	Russell et al.	705	59	
	AE	7,200,574	04-03-07	Stefik et al.	705	51	
	AF	2002/0072413	06-13-02	Arias et al.	463	42	
	AG	2002/0157002	10-24-02	Messerges et al.	713	155	
	AH	2003/0046548	03-06-03	Brown et al.	713	182	
	AI	2003/0046578	03-06-03	Brown et al.	726	6	
	AJ	2003/0050919	03-13-03	Brown et al.	707	2	
	AK	2003/0105734	06-05-03	Hitchen et al.	707	1	
	AL	2003/0126086	07-03-03	Safadi	705	51	

### FOREIGN PATENT DOCUMENTS

						Trans	lation
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
AM	JP 2004173285	06/17/04	Japan				х
AN	Patent Abstracts of Japan for JP 2004173285	06/17/04	Japan			Х	
AO							

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Schneier, 175.	"Applied	Cryptography",	section	8.1,	copyright	1999,	pages	170-
AR									

AS

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO	-1449				Atty Docket No.				Serial No.		
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EXAMINER INITIAL	:	DOCUMENT NUMBER	DATE	NAME		CLASS SUBCLA			FILING DATE IF APPROPRIATE		
	AA	2003/0140257	07-24-03	Peterka	et al		726	12			
	AB	2003/0191838	10-09-03	Tsao			709	225			
	AC	2003/0208777	11-06-03	Danker	et al.		725	135			
	AD	2003/0226012	12-04-03	Asokan	et al.		713	156			
	AE	2004/0003270	01-01-04	Bourne et al.			713	193			
	AF	2004/0078341	04-22-04	Steichen		705	64				
	AG	2004/0098609	05-20-04	Bracewell et al.		al.	726	6			
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to applicant(s).